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March 4, 2003

**BOX NO FEE**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Re: Woodward C. HOFFMAN et al.  
U.S. Patent Application No. 09/997,716 Filed: November 26, 2001  
Attorney Docket No. WH1-NP  
"ACCOUNTING SYSTEM FOR THE DYNAMIC STATE OF THE  
PORTFOLIO REPORTING"

SIR:

We enclose herewith:

- ☒ Information Disclosure Citation Form PTO-1449;
- ☒ Copies of four (4) references cited;
- ☒ Acknowledgment Postcard

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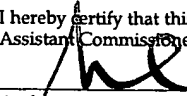
The Commissioner is hereby authorized to charge payment of the fees associated with this communication or credit any overpayment to Deposit Account No. 08-0570.

The applicants hereby petition under 37 CFR 1.136 or other applicable rule to have the response period extended the number of months necessary to render the attached communication timely if a petition is required.

Respectfully submitted,

  
Anthony H. Handal  
Reg. No. 26,275  
Roger Pitt  
Reg. No. 46,996

I hereby certify that this correspondence is being deposited with the United States Postal Service, in an envelope, postage prepaid, addressed to the Assistant Commissioner for Patents Washington, D.C. 20231 on March 4, 2003.

  
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Form PTO-1449  
(R v. 8-83)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

WH1-NP

SERIAL NO.

09/997,716

## INFORMATION DISCLOSURE CITATION

Use several sheets if necessary)

APPLICANT

HOFFMAN et al.

FILING DATE

November 26, 2001

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## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL | DOCUMENT NUMBER | DATE  | NAME          | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|---------------------|-----------------|-------|---------------|-------|----------|-------------------------------|
|                     | 5 8 5 7 1 7 6   | 12/99 | Ginsberg      |       |          |                               |
|                     | 6 3 4 7 3 0 7   | 02/02 | Sandhu et al. |       |          |                               |
|                     | 6 3 9 3 4 0 9   | 05/02 | Young et al.  |       |          |                               |
|                     |                 |       |               |       |          |                               |

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## FOREIGN PATENT DOCUMENTS

| DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |
|-----------------|------|---------|-------|----------|-------------|
|                 |      |         |       |          | YES NO      |
|                 |      |         |       |          |             |

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

PCT/US01/44311; International Search Report mailed December 9, 2002.

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.